



**Certificate of Status**  
(Commodity Pool Operator)

To: OANDA Corporation  
140 Broadway, 46<sup>th</sup> Floor  
New York, NY 10005  
U.S.A.

Name of OANDA fxTrade account holder: \_\_\_\_\_  
(hereinafter referred to as "CPO")

fxTrade account number: \_\_\_\_\_

1. CPO hereby confirms:

a) CPO does not have a place of business in, or conduct business anywhere in, the United States, and that its clients are not located in, residents of, incorporated in, or established in, the United States:

True \_\_\_\_\_ False \_\_\_\_\_ (place initials in one field), or

b) CPO operates a commodity pool that has over 5 million USD in total assets and each member of the pool is an "eligible contract participant" within the meaning of the U.S. Commodity Exchange Act:

True \_\_\_\_\_ False \_\_\_\_\_ (place initials in one field).

c) CPO operates a commodity pool that has over 10 million USD in total assets and was not formed for the purpose of evading the Commodity Exchange Act or CFTC rules or regulations and was formed and is operated by a registered commodity pool operator that is exempt from registration under CFTC Rule 4.13(a)(3).

True \_\_\_\_\_ False \_\_\_\_\_ (place initials in one field).

If true, provide the NFA pool identification / of the commodity pool operator that formed and operates the CPO: \_\_\_\_\_

2. CPO undertakes to promptly inform OANDA of any change to its status as confirmed above via written notice sent to the above address or by e-mail to: [accountid@oanda.com](mailto:accountid@oanda.com).

3. The representative of the CPO named below is an authorized signing officer of the CPO with power and authority to execute this Certificate on behalf of the CPO.

IN WITNESS WHEREOF, I have hereto set my hand in the city of \_\_\_\_\_, in the country of \_\_\_\_\_, this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_ .

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Name and Title