Eligible Contract Participant or Retail - Certification of Status

To: OANDA Corporation
140 Broadway, 46th Floor
New York, NY 10005

I, ___________________________________________________________ [name], have reviewed the definitions of Eligible Contract Participant (ECP) in the Commodity Exchange Act, as amended by Title VII of the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 and the CFTC rule 17 CFR 1.3(m)(5) and (6) and hereby certify:

1. My net worth is: ___________________________ USD.

2. It is my reasonable belief that I am classified as a: [check one]

   _______ ECP under category # ____________ of the Qualification Requirements for Eligible Contract Participants document; OR

   _______ Retail client (i.e. do not qualify as an ECP)

_________________________________
Signature

_________________________________
Date

_________________________________
fxTrade Account No.

COMPLETE AND SEND THIS PAGE ONLY BY

email to: frontdesk@oanda.com or

fax to: +1-212-208-4356 or 1-877-264-2220
Qualification Requirements for Eligible Contract Participants (ECPs)

To determine if you qualify as an ECP, please review each category and check the appropriate box in Table 1 if you act for your own account (i.e., you are the principal and you are not acting as a broker for other entities or persons). If you are acting as a broker for others, please see Table 2 below. Please note that this table is provided to you only a guide, and you need to consult your own legal advisor to verify if you qualify in any of these categories or whether you may or may not qualify as an ECP for any other reason.

Table 1 – Acting for Participant’s Own Account
(If you can check at least one of the categories below, you qualify as an ECP)

<table>
<thead>
<tr>
<th></th>
<th>Description</th>
<th>Category</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>A corporation operating under the fifth undesignated paragraph of section 25 of the Federal Reserve Act (12 U.S.C. 603), commonly known as “an agreement corporation”;</td>
<td>Financial Institution</td>
</tr>
<tr>
<td>3</td>
<td>An institution that is regulated by the Farm Credit Administration;</td>
<td>Financial Institution</td>
</tr>
<tr>
<td>4</td>
<td>A Federal credit union or State credit union (as defined in section 101 of the Federal Credit Union Act (12U.S.C. 1752));</td>
<td>Financial Institution</td>
</tr>
<tr>
<td>5</td>
<td>A depository institution (as defined in section 3 of the Federal Deposit Insurance Act (12 U.S.C. 1813));</td>
<td>Financial Institution</td>
</tr>
<tr>
<td>6</td>
<td>A foreign bank or a branch or agency of a foreign bank (each as defined in section 1(b) of the International Banking Act of 1978 (12 U.S.C. 3101(b)));</td>
<td>Financial Institution</td>
</tr>
<tr>
<td>7</td>
<td>Any financial holding company (as defined in section 2 of the Bank Holding Company Act of 1956);</td>
<td>Financial Institution</td>
</tr>
<tr>
<td>8</td>
<td>A trust company;</td>
<td>Financial Institution</td>
</tr>
<tr>
<td>9</td>
<td>A similarly regulated subsidiary or affiliate of an entity described in any of categories (1) through (8) of this Table 1.</td>
<td>Financial Institution</td>
</tr>
<tr>
<td>10</td>
<td>An insurance company that is regulated by a State, or that is regulated by a foreign government and is subject to comparable regulation as determined by the Commission, including a regulated subsidiary or affiliate of such an insurance company;</td>
<td>Insurance Company</td>
</tr>
<tr>
<td>11</td>
<td>An investment company subject to regulation under the Investment Company Act of 1940 (15 U.S.C. 80a–1 et seq.) or a foreign person performing a similar role</td>
<td>Investment Company</td>
</tr>
<tr>
<td></td>
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</tr>
</tbody>
</table>
| 12 | □ | A commodity pool that:  
(I) has total assets exceeding $5,000,000; and  
(II) is formed and operated by a person subject to  
regulation under the Commodity Exchange Act or a  
foreign person performing a similar role or function  
subject as such to foreign regulation; and  
(III) all participants are ECPs.  
Commodity Pool |
| 13 | □ | A commodity pool that:  
(I) has total assets exceeding $10,000,000; and  
(II) is not formed for the purpose of evading regulation  
under Section 2(c)(2)(B) or Section 2(c)(2)(C) of the  
Act or related Commission rules, regulations or orders; and  
(III) is formed and operated by a registered CPO or by  
a CPO who is exempt therefrom pursuant to § 4.13(a)(3).  
Commodity Pool |
| 14 | □ | A corporation, partnership, proprietorship,  
or function subject as such to foreign regulation  
(regardless of whether each investor in the investment  
company or the foreign person is itself an eligible  
contract participant);  
General Qualified  
Business Entity |
| 15 | □ | An employee benefit plan subject to the Employee  
1001 et seq.), a governmental employee benefit plan,  
or a foreign person performing a similar role or function  
subject as such to foreign regulation—  
(I) that has total assets exceeding $5,000,000; or  
(II) the investment decisions of which are made by—  
(aa) an investment adviser or commodity trading  
advisor subject to regulation under the Investment  
Advisers Act of 1940 (15 U.S.C. 80b–1 et seq.) or this  
Act;  
(bb) a foreign person performing a similar role or function  
subject as such to foreign regulation;  
(cc) a financial institution; or  
(dd) an insurance company described in clause (ii), or  
a regulated subsidiary or affiliate of such an insurance  
company;  
Employee Benefit Plan |
| 16 | □ | (I) a governmental entity (including the United States,  
a State, or a foreign government) or political  
subdivision of a governmental entity;  
(II) a multinational or supranational government  
A Governmental Entity |
| 17 | □ | (I) A broker or dealer subject to regulation under the Securities Exchange Act of 1934 (15 U.S.C. 78a et seq.) or a foreign person performing a similar role or function subject as such to foreign regulation, except that, if the broker or dealer or foreign person is a natural person or proprietorship, the broker or dealer or foreign person shall not be considered to be an eligible contract participant unless the broker or dealer or foreign person also meets the requirements of categories (14) or (20) in this Table 1; (II) an associated person of a registered broker or dealer concerning the financial or securities activities of which the registered person makes and keeps records under section 15C(b) or 17(h) of the Securities Exchange Act of 1934 (15 U.S.C. 78o–5(b), 78q(h)); (III) an investment bank holding company (as defined in section 17(i) of the Securities Exchange Act of 1934 (15 U.S.C. 78q(i))); | An SEC-Regulated Broker Dealer |
| 18 | □ | A futures commission merchant subject to regulation under this Act or a foreign person performing a similar role or function subject as such to foreign regulation, except that, if the futures commission merchant or foreign person is a natural person or proprietorship, the futures commission merchant or foreign person shall not be considered to be an eligible contract participant unless the futures commission merchant or foreign person also meets the requirements of categories (14) or (20) of this Table 1; | A CFTC-Regulated Futures Commission Merchant |
| 19 | □ | A floor broker or floor trader subject to regulation under this Act in connection with any transaction that takes place on or through the facilities of a registered entity (other than an electronic trading facility with respect to a significant price discovery contract) or an exempt board of trade, or any affiliate thereof, on which such person regularly trades; or | A Floor Broker or Trader |
| 20 | □ | An individual who has amounts invested on a discretionary basis, the aggregate of which is in excess of— (I) $10,000,000; or (II) $5,000,000 and who enters into the agreement, contract, or transaction in order to manage the risk associated with an asset owned or liability incurred, or | A Qualified Individual |
reasonably likely to be owned or incurred, by the individual.

Table 2 – Acting for Other Person’s Account
(If you can check at least one of the categories below, you qualify as an ECP)

| 21 | □ | (i) a person described in categories (1) through (10), (12), (13), (14), (18), or (19) of Table 1, acting as broker or performing an equivalent agency function on behalf of another person described in Table 1; or (ii) an investment adviser subject to regulation under the Investment Advisers Act of 1940, a commodity trading advisor subject to regulation under this Act, a foreign person performing a similar role or function subject as such to foreign regulation, or a person described in categories (1) through (10), (12), (13), (14), (18), or (19) of Table 1, in any such case acting as investment manager or fiduciary (but excluding a person acting as broker or performing an equivalent agency function) for another person described in Table A and who is authorized by such person to commit such person to the transaction. | Registered Intermediary Acting for Other Qualified ECPs |